



DEPT REF  
Room 307

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Masaki KURASAWA et al. 25 JAN 25 2005 Group Art Unit: 2814

Serial No.: 09/960,398

Examiner: Thao X. Le

Filed: September 24, 2001

Confirmation No.: 5650

For: CAPACITOR AND METHOD FOR FABRICATING THE SAME, AND  
SEMICONDUCTOR AND METHOD FOR FABRICATING THE SAME

Attorney Docket Number: 011254

Customer Number: 38834

REQUEST FOR REFUND

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

January 14, 2005

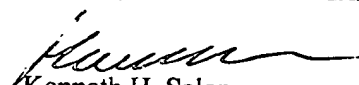
Sir:

In response to an Office Action dated July 30, 2004, Applicants filed a Response on November 1, 2004. No new claims were added. A deposit charge of \$72.00 was subsequently charged on November 9, 2004, to the PTO Deposit Account. Applicants do not recognize this charge for anything that has been filed in the referenced application. A copy of the Deposit Account monthly statement dated November 2004 accompanies this Request.

Applicants request that the Patent Office refund the amount of \$72.00 to Deposit Account 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

  
Kenneth H. Salen

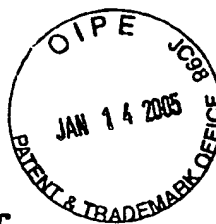
Registration No. 43,077  
Telephone: (202) 822-1100  
Facsimile: (202) 822-1111

KHS/ldc

Enclosures: Deposit Account Monthly Statement of November 2004



**United States  
Patent and  
Trademark Office**



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Page**Deposit Account Statement**

**Requested Statement Month:** November 2004  
**Deposit Account Number:** 502866  
**Name:** WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP  
**Attention:** ACCOUNTS PAYABLE  
**Address:** 1250 CONNECTICUT AVE, NW  
**City:** WASHINGTON  
**State:** DC  
**Zip:** 20036

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
11/01	2	10048498		1251	\$110.00	\$1,979.00
11/03	1	10492838	042308	2251	\$55.00	\$1,924.00
11/05	83	10980430	042930	1203	\$300.00	\$1,624.00
11/08	55	10035334	011715	1253	\$980.00	\$644.00
11/08	56	10035334	011715	1806	\$180.00	\$464.00
11/09	2	09960398	011254	1202	\$72.00	\$392.00
11/12	1	10451633	030809	1814	\$110.00	\$282.00
11/12	75	09889577	010610	1501	\$40.00	\$242.00
11/15	2	10361025	030141	1202	\$36.00	\$206.00
11/16	7	10493867		9204	-\$180.00	\$386.00
11/16	417	10396502	030307	1504	\$300.00	\$86.00
11/18	9	10496113		9204	-\$130.00	\$216.00
11/18	33	10855808	042443	1202	\$216.00	\$0.00
11/22	36	E-REPLENISHMENT		9203	-\$4,914.00	\$4,914.00
11/29	1	10706288	032104	1202	\$18.00	\$4,896.00
START BALANCE			SUM OF CHARGES	SUM OF REPLENISH	END BALANCE	
\$2,089.00			\$2,417.00	\$5,224.00	\$4,896.00	

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In re the Application of: Masaki KURASAWA et al.

Group Art Unit: 2814

Serial No.: 09/960,398

Examiner: Thao Le

Filed: September 24, 2001

P.T.O. Confirmation No.: 5650

For: **CAPACITOR AND METHOD FOR FABRICATING THE SAME, AND  
SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME**

AMENDMENT UNDER 37 C.F.R. §1.111

Commissioner for Patents  
Washington, D.C. 20231  
Sir:

December 16, 2002

In response to the Office Action dated August 14, 2002, extended to December 14, 2002 by  
a one month Petition for Extension of Time, please amend the above-identified application as  
follows:

RECEIVED  
DEC 18 2002  
TECHNOLOGY CENTER 2800

IN THE CLAIMS:

Please amend claims 1, 3, 13 and 14 as follows:

1. (Amended) A capacitor comprising:

a buffer structure formed on a substrate, the buffer structure having a height larger than a  
width thereof;

a lower electrode formed on the buffer structure;

a capacitor dielectric film formed on the lower electrode, and formed of a perovskite  
ferroelectric material having a smaller thermal expansion coefficient than that of the buffer structure  
and having a crystal oriented substantially perpendicular to a surface of the lower electrode; and

an upper electrode formed on the capacitor dielectric film.